

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. PARA.002C3	APPLICATION NO. Unknown
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Lilip Lau, et al.	
		FILING DATE Herewith	GROUP ART UNIT Unknown

(USE SEVERAL SHEETS IF NECESSARY)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
SG	1. 4,536,893	08-27-1985	Parravicini			
	2. 4,936,857	06-26-1990	Kulik			
	3. 4,957,477	09-18-1990	Lundback			
	4. 5,131,905	07-21-1992	Grooters			
	5. 5,256,132	10-26-1993	Snyders			
	6. 5,558,617	09-24-1996	Heilman, et al.			
	7. 5,582,616	12-10-1996	Bolduc, et al.			
	8. 5,702,343	12-30-1997	Alfemess			
	9. 5,713,954	02-03-1998	Roenberg, et al.			
	10. 5,749,839	05-12-1998	Kovacs			
	11. 5,800,528	09-01-1998	Lederman, et al.			
	12. 5,848,962	12-15-1998	Feindt, et al.			
	13. 5,910,124	06-08-1999	Rubin			
	14. 5,957,977	09-28-1999	Melvin			
	15. 5,961,440	10-05-1999	Schweich, Jr., et al.			
	16. 5,976,069	11-02-1999	Navia, et al.			
	17. 6,024,096	02-15-2000	Buckberg			
	18. 6,045,497	04-04-2000	Schweich, Jr., et al.			
	19. 6,050,836	04-18-2000	Schweich, Jr., et al.			
	20. 6,059,715	05-09-2000	Schweich, J., et al.			
	21. 6,077,214	06-20-2000	Mortier, et al.			
	22. 6,077,218	06-20-2000	Alfemess			
	23. 6,085,754	07-11-2000	Alfemess, et al.			
	24. 6,123,662	09-26-2000	Alfemess, et al.			
	25. 6,126,590	10/03/2000	Alfemess			
SG	26. 6,183,411 B1	02/06/2001	Mortier, et al.			

EXAMINER /Samuel Gilbert/ (06/16/2006)

DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. PARA.002C3	APPLICATION NO. Unknown
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Lilip Lau, et al.	
		FILING DATE Herewith	GROUP ART UNIT Unknown

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)	
SG	27.	6,076,013	06/13/2000	Brennan, et al.			
	28.	6,125,852	10/03/2000	Stevens, et al.			
	29.	6,155,972	12/05/2000	Nauertz, et al.			
	30.	6,162,168	12/19/2000	Schweich, Jr., et al.			
	31.	6,165,119	12/26/2000	Schweich, Jr., et al.			
	32.	6,165,120	12/26/2000	Schweich, Jr., et al.			
	33.	6,165,121	12/26/2000	Alfemess			
	34.	6,165,122	12/26/2000	Alfemess			
	35.	US 6,169,922 B1	01/02/2001	Alfemess, et al.			
	36.	US 6,174,279 B1	01/16/2001	Girard			
	37.	US 6,179,791 B1	01/30/2001	Krueger			
	38.	US 6,190,408 B1	02/20/2001	Melvin			
	39.	US 6,193,648 B1	02/27/2001	Krueger			
	40.	US 6,221,103 B1	04/24/2001	Melvin			
	41.	US 6,224,540 B1	05/01/2001	Lederman, et al.			
	42.	US 6,230,714 B1	05/15/2001	Alfemess, et al.			
	43.	US 6,260,552 B1	07/17/2001	Mortier, et al.			
	44.	US 6,261,222 B1	07/17/2001	Schweich, Jr., et al.			
SG	45.	US 6,264,602 B1	07/24/2001	Mortier, et al.			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
SG	46.	FR 2,527,435	02-02-1983	France			
SG	47.	WO 98/03213	01-28-1999	PCT			
SG	48.	WO 98/58598	12-30-1998	Germany			
SG	49.	WO 99/30647	06-24-1999	PCT			
SG	50.	WO 00/02500	01-20-2000	PCT			

EXAMINER /Samuel Gilbert/ (06/16/2006)	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. PARA.002C3	APPLICATION NO. Unknown
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Lilip Lau, et al.	
		FILING DATE Herewith	GROUP ART UNIT Unknown

JAN 20 2004

REGISTERED TRADE MARK OFFICE

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
						YES	NO	
SG	51.	WO 00/06026	02-10-2000	PCT				
	52.	WO 00/06027	02-10-2000	PCT				
	53.	WO 00/06028	02-10-2000	PCT				
	54.	WO 00/16700	03-30-2000	PCT				
	55.	WO 99/53977	10-28-1999	PCT				
SG	56.	WO 01/50981 A1	07/19/2001	WIPO				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	57. Meim Corporation, M.D., Ph.D., et al., Dynamic Cardiomyoplasty at Seven Years, The Journal of Thoracic and Cardiovascular Surgery, Volume 109, Number 1.
SG	58. Eli R. Capouya, M.D., et al., Girdling Effect of Nonstimulated Cardiomyoplasty on left Ventricular Function, <u>The Society of Thoracic Surgeons</u> , 1993;56:867-71.
	59. G. H. Frazee, M.D. and Timothy J. Myers, BS, Left Ventricular Assist System as a Bridge to Myocardial Recovery, The Society of Thoracic Surgeons, 68: 734-41.
SG	60. Joong Hwan Oh, M.D., et al, Mechanisms of Dynamic Cardiomyoplasty: Current Concepts, <u>J. Card Surg</u> , 1996: 11:194-199.
	61. Howard R. Levin, M.D., et al., Reversal of Chronic Ventricular Dilation in Patients With End-Stage Cardiomyopathy by Prolonged Mechanical Unloading, <u>Circulation</u> , Volume 91, No. 11, June 1995.
	62. David A. Kass, M.D., et al., Reverse Remodeling From Cardiomyoplasty in Human Heart Failure, <u>Circulation</u> , Volume 91, No. 9, May 1, 1995.
	63. Vinay Badhwar, et al., Power Generation From Four Skeletal Muscle Configurations, Design Implications for a Muscle Powered Cardiac Assist Device, <u>ASAIO Journal</u> , 1997: 43: M651-M657.
	64. Ray C.-J. Chiu, Using Skeletal Muscle for Cardiac Assistance, <u>Scientific American</u> , November/December 1994.
SG	65. CARDIAC BINDING IN EXPERIMENTAL HEART FAILURE, Mikhail Vaynblat, MD, et al., 1997 by <u>The Society of Thoracic Surgeons</u> , Pages 81-85.
	66. THE EFFECTS OF PROSTHETIC CARDIAC BINDING AND DYNAMIC CARDIOMYOPLASTY IN A MODEL OF DILATED CARDIOMYOPATHY, Joong Hwan Oh, MD, et al., The Journal of Thoracic Cardiovascular Surgery Volume 116, Number 1, Pages 148-153.
SG	67. PASSIVE VENTRICULAR CONSTRAINT AMENDS THE COURSE OF HEART FAILURE: A STUDY IN AN OVINE MODEL OF DILATED CARDIOMYOPATHY, J.M. Power, et al., <u>Cardiovascular Research</u> 44 (1999) 549-555.
	68. GIRDLING EFFECT ON NONSTIMULATED CARDIOMYOPLASTY ON LEFT VENTRICULAR FUNCTION, Eli R. Capouya, M.D., et al, 1993 by <u>the Society of Thoracic Surgeons</u> , Pages 867-871.
	69. PASSIVE EPICARDIAL CONTAINMENT PREVENTS VENTRICULAR REMODELING IN HEART FAILURE, Pervaz A. Chaudry, M.D., et al, 2000 by <u>The Society of Thoracic Surgeons</u> , Pages 1275-1280.
	70. PASSIVE VENTRICULAR CONSTRAINT FOR THE TREATMENT OF CONGESTIVE HEART FAILURE, Mehmet C. Oz, MD, 2001 by <u>The Society of Thoracic Surgeons</u> , Pages S185-187.
SG	71. VENTRICULAR CONTAINMENT AS AN ADJUNCTIVE PROCEDURE IN ISCHEMIC CARDIOMYOPATHY: EARLY RESULTS, Jai S. Raman, et al., <u>Ann (Thorac Surg)</u> 2000;70:1124-6).
	72. CARDIOTHORACIC SURGERY, SURGICAL FORUM, Pages 140-140

H:\DOCS\GLN\GLN-4103.DOC.ca\090501

EXAMINER /Samuel Gilbert/ (06/16/2006)	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	